

# Learning about causation: dynamic epistemic modal logic with causal awareness

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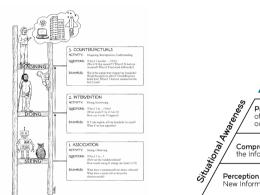


- 1. Introduction
- 2. Knowledge about causality
- 3. Causal awareness
- 4. Hybrid causal graphs
- 5. Discussion and concluding remarks

#### Causality and Situation Awareness









The ladder of causality and the hierarchy of situational awareness.



Pearl, J., Mackenzie, D.: The book of why: the new science of cause and effect. Basic Books (2018)



Krajewski, J.: Situational awareness. The next leap in industrial human machine interface design (2018)

#### Proactive AI Systems



Proactivity amounts to autonomously initiating action while taking into account future state development as well as anticipating potential consequences of the agent's actions on (the minds of) other agents and the environment.



Grosinger, J.: On Proactive Human-Al Systems. AIC 2022



Lorini, E.: Designing artificial reasoners for communication. AAMAS 2024

#### Proactive AI Systems — Example





#### Proactive robot:

- knows that Bob is
- not aware of the medicine;
- wants to make him aware of the medicine and its
- causal relations to his health







#### In this talk...



- We enrich reasoning about interventions in causal structures with awareness
- We draw from existing lines of research:

Dynamic epistemic logic of causality with counterfactual reasoning about interventions



Barbero, F., et al.: Thinking about causation: A causal language with epistemic operators. DaLí 2020

Modal logic-based dynamic approach to knowledge and awareness



van Benthem, J. and Velázquez-Quesada, F.: The dynamics of awareness. Synthese 2010

- Implicit vs explicit knowledge about causality
- · Reasoning about causality on two levels: expert learner
- Learning about causality and dynamic awareness-raising

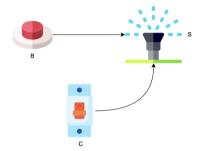
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#### Billie's sprinkler





Billie wants to switch on a sprinkler (S), which turns on when she presses the red button (B). However, that will work only if the circuit breaker (C) is closed.

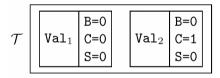


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## Billie's sprinkler: Uncertainty



Assume the button is not pressed, the circuit is open and the sprinkler is off, B=C=S=0. Billie knows the values of B and S, but she is uncertain about C.



#### Epistemic causal models



#### Definition (Epistemic causal model, Barbero et al. 2022)

An **epistemic causal model** is a triple  $E = \langle S, \mathcal{F}, \mathcal{T} \rangle$ , where:

•  $S = \langle \mathbf{U}, \mathbf{V}, R \rangle$  is a finite with exogenous variables  $\mathbf{U} = \{U_1, \dots, U_m\}$ , endogenous variables  $\mathbf{V} = \{V_1, \dots, V_n\}$ , and the range R(X) of each variable X;

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- $\mathcal{F}$  is the set of structural functions for each  $V_j \in \mathbf{V}$ , with  $f_{V_j}: R(U_1, \dots, U_m, V_1, \dots, V_n) \to R(V_j)$ .

#### Epistemic causal models



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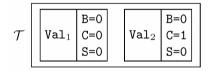
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- $\mathcal{T}$  is a set of valuation functions Val, where Val $(X) \in R(X)$  for each  $X \in \mathbf{U} \cup \mathbf{V}$ , s.t. the value of endogenous variable must comply with the structural function of the variable.

## Billie's sprinkler: Intervention



What would happened to the uncertainty range if a (counterfactual) intervention is performed? We can perform an intervention of pressing the button,  $B{=}1$ , and observe the potential change in Billie's knowledge.



$$\mathcal{T}_{B=1}^{\mathcal{F}}$$
  $\begin{bmatrix} \mathtt{Val}_{1,B=1} & \mathtt{B=1} \\ \mathtt{C=0} \\ \mathtt{S=0} \end{bmatrix}$   $\begin{bmatrix} \mathtt{Val}_{2,B=1} & \mathtt{B=1} \\ \mathtt{C=1} \\ \mathtt{S=1} \end{bmatrix}$ 

#### Interventions



#### Definition (Assignment)

An assignment on a signature S is an expression  $\overrightarrow{X} = \overrightarrow{x}$ , where  $\overrightarrow{X}$  is a tuple of different variables from  $U \cup V$  and  $\overrightarrow{x} \in R(\overrightarrow{X})$ .

#### Interventions



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#### Definition (Intervention)

For an epistemic causal model  $E = \langle S, \mathcal{F}, \mathcal{T} \rangle$  and an assignment  $\overrightarrow{X} = \overrightarrow{x}$ , the *intervention* of setting the variables in  $\overrightarrow{X}$  to  $\overrightarrow{x}$ , results in  $E_{\overrightarrow{X} = \overrightarrow{x}} = \langle S, \mathcal{F}_{\overrightarrow{X} = \overrightarrow{x}}, \mathcal{T}_{\overrightarrow{X} = \overrightarrow{x}}^{\mathcal{F}} \rangle$ , where:

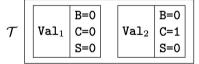
- $\mathcal{F}_{\overrightarrow{X}=\overrightarrow{X}}$  is the same as  $\mathcal{F}$ , except that for every endogenous variable  $X_i$  in  $\overrightarrow{X}$ ,  $f_{X_i}$  is replaced with a constant function returning  $x_i$ ;
- $\mathcal{T}^{\mathcal{F}}_{\overrightarrow{X}=\overrightarrow{x'}}:=\{\operatorname{Val}^{\mathcal{F}}_{\overrightarrow{X}=\overrightarrow{x'}}\mid \operatorname{Val}\in\mathcal{T}\}$ , where for each exogenous variable not in  $\overrightarrow{X}$ ,  $\operatorname{Val}^{\mathcal{F}}_{\overrightarrow{X}=\overrightarrow{x'}}$  is as Val; for each exogenous variable  $X_i$  in  $\overrightarrow{X}$ ,  $\operatorname{Val}^{\mathcal{F}}_{\overrightarrow{X}=\overrightarrow{x'}}(X_i)=x_i$ , and the values of all endogenous variables not in  $\overrightarrow{X}$  comply with  $\mathcal{F}_{\overrightarrow{X}-\overrightarrow{x'}}$ .

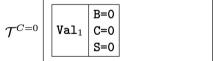
## Billie's sprinkler: Announcement



Billie has been informed that the circuit-breaker is open, so she can eliminate Val<sub>2</sub>. As a consequence she would know that had she pushed the button, the sprinkler would be off:

$$[C=0!]K[B=1]S=0$$





## The $\mathcal{L}_{\mathtt{PAKC}}$ language



$$\begin{split} \gamma ::= Z &= z \,|\, \neg \gamma \,|\, \gamma \wedge \gamma \,|\, K \gamma \,|\, [\gamma !] \gamma \qquad \text{for } Z \in U \cup V \text{ and } z \in \mathcal{R}(Z) \\ \varphi ::= Z &= z \,|\, \neg \varphi \,|\, \varphi \wedge \varphi \,|\, K \varphi \,|\, [\varphi !] \varphi \,|\, [\overrightarrow{X} = \overrightarrow{x}] \gamma \qquad \text{for } \overrightarrow{X} = \overrightarrow{x} \text{ on } S \end{split}$$

The language  $\mathcal{L}_{PAKC}$  is interpreted locally, with the formulas evaluated at a pair (E, Val), i.e., an epistemic causal model and one of its valuations  $Val \in \mathcal{T}$ . The Boolean fragment is as usual, and the other clauses have the following meaning:

$$\begin{array}{lll} (E, \mathtt{Val}) \models Z = z & \text{iff} & \mathtt{Val}(Z) = z \\ (E, \mathtt{Val}) \models K\varphi & \text{iff} & (E, \mathtt{Val}') \models \varphi \text{ for every } \mathtt{Val}' \in \mathcal{T} \\ (E, \mathtt{Val}) \models [\psi !] \varphi & \text{iff} & (E, \mathtt{Val}) \models \psi \text{ implies } (E^\psi, \mathtt{Val}) \models \varphi \\ (E, \mathtt{Val}) \models [\overrightarrow{X} = \overrightarrow{x}] \gamma & \text{iff} & (E_{\overrightarrow{X} = \overrightarrow{x}}, \mathtt{Val}_{\overrightarrow{X} = \overrightarrow{x}}^{\mathcal{F}}) \models \gamma \\ \end{array}$$

where  $E^{\psi} = \langle S, \mathcal{F}, \mathcal{T}^{\psi} \rangle$ , with  $\mathcal{T}^{\psi} := \{ \operatorname{Val}' \in \mathcal{T} \mid (E, \operatorname{Val}') \models \psi \}$ .

## The 'no learning' effect and logical omniscience



Barbero et al 2020 provide a sound and complete axiomatization of  $\mathcal{L}_{PAKC}$ .

They note that in their logic the following is a validity:

$$[\overrightarrow{X} \!=\! \overrightarrow{x}]K\varphi \to K[\overrightarrow{X} \!=\! \overrightarrow{x}]\varphi$$

This law could be seen as a 'no-learning' effect: if after the intervention the agents knows  $\varphi$ , then then the agent knows the intervention will result in  $\varphi$ .

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Knowing 'too much' is a known problem in logic. The problem of logical omniscience, i.e., the effect of knowledge being closed on logical consequence, appears by default in epistemic logic and makes the theory incompatible with cognitive reality. While we might know some facts, we might be unaware of some of their consequences.

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Both issues can be addressed by involving awareness.

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#### Billie's sprinker: Causal Awareness

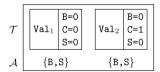


Billie's gardener is an expert on watering the grounds around her mansion. Billie does not have to worry about the circuit breakers— she is aware of the button, and, normally, pressing it turns the sprinkler on. The gardener, on the other hand, is not limited in his awareness of the electrical wiring of the garden, and so of the circuit-breaker. Both the gardener and Billie can directly observe the button and the sprinkler, but they do not see the state of the circuit breaker. As long as the button works as intended, i.e., it turns on the sprinkler, Billie is perfectly happy with her level of awareness of the causal variables. If that fails, however, she would call on the gardener, and he could (by reasoning counterfactually) realise that she should be made aware of the existence of the circuit-breaker (and explain its relation to other variables).

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$\tau$	$ extsf{Val}_1$	B=0 C=0 S=0	${\tt Val}_2$	B=0 C=1 S=0
$\mathcal{A}'$	{B,C	,s}	{B,C	,s}

#### Epistemic causal awareness models



#### Definition (Epistemic causal awareness models)

A epistemic causal awareness model is  $\mathcal{M}=\langle S,\mathcal{F},\mathcal{T},\mathcal{A}\rangle$ , where:  $S=\langle U,V,R\rangle$  is a signature,  $\mathcal{F}$  is a set of structural functions over the set of endogenous variables  $V,\mathcal{T}$  is a non-empty set of possible assignments complying with  $\mathcal{F}$ , and  $\mathcal{A}$  is the awareness function  $\mathcal{A}:\mathcal{T}\to\mathcal{P}(U\cup V)$ .

## The $\mathcal{L}_{\mathtt{PAKCA+}}$ language



$$\gamma ::= Z = z | \neg \gamma | \gamma \wedge \gamma | K \gamma | [\gamma!] \gamma | A \gamma | [+\overrightarrow{X}] \gamma 
\varphi ::= Z = z | \neg \varphi | \varphi \wedge \varphi | K \varphi | [\varphi!] \varphi | A \varphi | [+\overrightarrow{X}] \varphi | [\overrightarrow{X} = \overrightarrow{x}] \gamma$$
(1)

$$\begin{array}{ll} (\mathcal{M}, \mathtt{Val}) \models A\varphi & \text{iff} \quad v(\varphi) \subseteq \mathcal{A}(\mathtt{Val}) \\ (\mathcal{M}, \mathtt{Val}) \models [+\overrightarrow{X}] \ \varphi & \text{iff} \quad (\mathcal{M}_{+\overrightarrow{X}}, \mathtt{Val}) \models \varphi \end{array}$$

## The $\mathcal{L}_{\mathtt{PAKCA+}}$ language, cntd.



$$(\mathcal{M}, \mathtt{Val}) \models A\varphi \text{ iff } v(\varphi) \subseteq \mathcal{A}(\mathtt{Val})$$

 $v(\varphi)$  is defined in the following way:

$$\begin{split} v(Z = z) &:= \{Z\} \\ v(\neg\varphi) &:= v(\varphi) \\ v([\varphi_1!]\varphi_2) &:= v(\varphi_1) \cup v(\varphi_2) \\ v(\varphi_1 \wedge \varphi_2) &:= v(\varphi_1) \cup v(\varphi_2) \\ v(\bigcirc\varphi) &:= v(\varphi), \text{ where } \bigcirc \in \{A,K\} \\ v(\oplus\varphi) &:= set(\overrightarrow{X}) \cup v(\varphi), \text{ where } \\ \oplus \in \{[\overrightarrow{X} = \overrightarrow{x}], [+\overrightarrow{X}]\} \end{split}$$

$$(\mathcal{M}, \mathtt{Val}) \models [+\overrightarrow{X}] \ \varphi \ \mathrm{iff} \ (\mathcal{M}_{+\overrightarrow{X}}, \mathtt{Val}) \models \varphi$$

 $\mathcal{M}_{+\overrightarrow{X}}$  is defined in the following way:

Given an epistemic causal awareness model  $\mathcal{M} = \langle S, \mathcal{F}, \mathcal{T}, \mathcal{A} \rangle$  and a set of variables  $X \subseteq U \cup V$ ,  $\mathcal{M}_{+\overrightarrow{X}} = \langle S, \mathcal{F}, \mathcal{T}, \mathcal{A}' \rangle$ , where  $\mathcal{A}'(\mathtt{Val}) = \mathcal{A}(\mathtt{Val}) \cup set(\overrightarrow{X})$ , for all  $\mathtt{Val} \in \mathcal{T}$ .



van Ditmarsch, et al.: Implicit, explicit and speculative knowledge. Artificial Intelligence 2018.



van Benthem, J. and Velázquez-Quesada, F.R.: The dynamics of awareness. Synthese 2010.

## Explicit causal knowledge



Explicit knowledge is defined in the following way (like in vB+VQ 2010):

$$K^{Ex}\varphi := K(\varphi \wedge A\varphi).$$

in contrast to Halpern's definition:  $K\varphi \wedge A\varphi$ .

#### Proposition

If 
$$\models A\varphi \to KA\varphi$$
, then  $\models (K\varphi \land A\varphi) \leftrightarrow K(\varphi \land A\varphi)$ .

As it turns out, weak introspection  $\models A\varphi \to KA\varphi$  implies uniform awareness.

#### Proposition

Let 
$$\mathcal{M} = \langle S, \mathcal{F}, \mathcal{T}, \mathcal{A} \rangle$$
.  $\mathcal{M} \models A\varphi \rightarrow KA\varphi$  if and only if for all  $Val$ ,  $Val' \in \mathcal{T}$ ,  $\mathcal{A}(Val) = \mathcal{A}(Val')$ .

## Explicit causal intervention and... 'yes-learning'



$$\models [\overrightarrow{X} = \overrightarrow{x}]K\varphi \leftrightarrow K[\overrightarrow{X} = \overrightarrow{x}]\varphi$$

$$\models [\overrightarrow{X} \!=\! \overrightarrow{x}]K^{Ex}\varphi \leftrightarrow K^{Ex}[\overrightarrow{X} \!=\! \overrightarrow{x}]\varphi$$

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We define explicit causal intervention:

$$[\overrightarrow{X} \!=\! \overrightarrow{x}]^{Ex} := [\overrightarrow{X} \!=\! \overrightarrow{x}][+\overrightarrow{X}]$$

aka, expert uttering the counterfactual reasoning in the presence of the layperson.

The order of the two operations does not matter:  $\models [\overrightarrow{X} = \overrightarrow{x}][+\overrightarrow{X}]\varphi \leftrightarrow [+\overrightarrow{X}][\overrightarrow{X} = \overrightarrow{x}]\varphi$ , because they change different components of the model.

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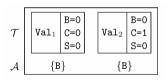
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$$\not\models [\overrightarrow{X} = \overrightarrow{x}]^{Ex} K^{Ex} \varphi \to K^{Ex} [\overrightarrow{X} = \overrightarrow{x}]^{Ex} \varphi$$

## Billie's sprinkler: Differential Awareness



Let us now return to our Billie example, and this time let us assume that Billie is only aware of the button. The expert can counterfactually reason that had the button been pressed, the sprinkler would turn on, and would be noticed by Billie (she would become aware of the variable S). That would happen only in the possible world in which the circuit-breaker is closed.

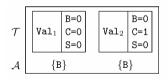


$\mathcal{T}^{\mathcal{F}}_{B=1}$	Val <sub>1</sub>	B=1 C=0 S=0	${\tt Val}_2$	B=1 C=1 S=1	
$\mathcal{A}^{\Delta}$	{B	}	$\{B,S\}$		

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Note that this requires non-uniform awareness, so no weak introspection.

#### Differential causal awareness



- New language  $\mathcal{L}_{\mathtt{PAKCA}+\Delta}$
- New type of explicit intervention  $[\overrightarrow{X} = \overrightarrow{x}]^{\Delta}$
- only those variables that change are added to the awareness set.
- Semantics:

$$(\mathcal{M}, \mathtt{Val}) \models [\overrightarrow{X} = \overrightarrow{x}]^{\Delta} \varphi \text{ iff } (\mathcal{M}_{+\Delta}, \mathtt{Val}) \models \varphi,$$

where:

$$\begin{split} \mathcal{M}_{+\Delta} &= \langle S, \mathcal{F}_{\overrightarrow{X} = \overrightarrow{x}}, \mathcal{T}_{\overrightarrow{X} = \overrightarrow{x}}^{\mathcal{F}}, \mathcal{A}^{\Delta} \rangle, \\ \text{for any Val} &\in \mathcal{T}, \ \mathcal{A}^{\Delta}(\text{Val}) = \mathcal{A}(\text{Val}) \cup \Delta(\text{Val}, \text{Val}_{\overrightarrow{X} = \overrightarrow{x}}^{\mathcal{F}}), \ \text{and} \\ \Delta(\text{Val}, \text{Val}') &= \{X \in U \cup V \mid \text{Val}(X) \neq \text{Val}'(X)\} \end{split}$$

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## Hybrid Causal Graphs for Proactivity



Imagine Billie is aware of the button (B) and sprinkler (S).

## Hybrid Causal Graphs for Proactivity



Imagine Billie is aware of the button (B) and sprinkler (S).

The gardener has the full picture, that is, additionally he is aware of: the circuit breaker (C) and the tap (T), and he knows their causal dependencies.

How can the gardener proactively decide which part of the actual causal structure to reveal to Billie?

## Billie's sprinkler



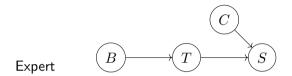
## Billie's sprinkler: Learner





Learner

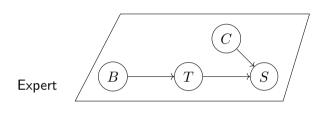


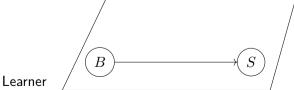


B S

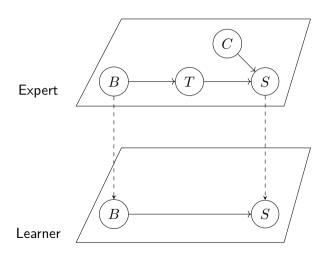
Learner



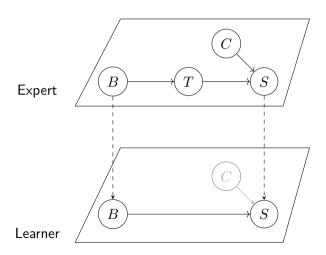




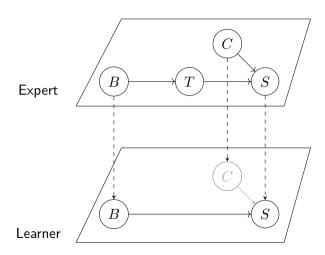




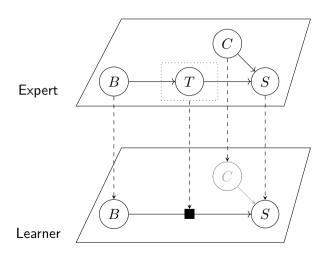




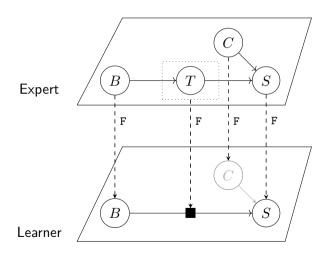






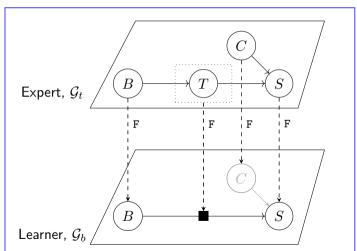






## Hybrid Causal Graph





$$\mathcal{G} = (\mathcal{G}_t, \mathcal{G}_b, \mathtt{F})$$

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#### Conclusion



• A new dynamic epistemic logic with public announcements, causal interventions and dynamic awareness,  $\mathcal{L}_{PAKCA+}$  (and  $\mathcal{L}_{PAKCA+\Delta}$ )

#### Conclusion



- A new dynamic epistemic logic with public announcements, causal interventions and dynamic awareness,  $\mathcal{L}_{PAKCA+}$  (and  $\mathcal{L}_{PAKCA+\Delta}$ )
- We introduce a new notion of explicit interventions with differential awareness, and show that the inclusion of explicit knowledge and explicit awareness invalidates the (adjusted) principle of no-learning

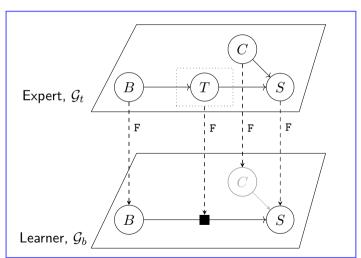
## Conclusion



- A new dynamic epistemic logic with public announcements, causal interventions and dynamic awareness,  $\mathcal{L}_{PAKCA+}$  (and  $\mathcal{L}_{PAKCA+\Delta}$ )
- We introduce a new notion of explicit interventions with differential awareness, and show that the inclusion of explicit knowledge and explicit awareness invalidates the (adjusted) principle of no-learning
- We introduce hybrid causal graph for an expert who has implicit knowledge as a
  guideline to make proactive decisions about which hidden causal variables to reveal
  to the layperson who has explicit knowledge.

# Discussion: Proactive Human-AI Systems





$$\mathcal{G} = (\mathcal{G}_t, \mathcal{G}_b, \mathtt{F})$$

## Discussion: Proactive Human-AI system



Proactive Human-AI Systems can use our modeling for reasoning with causality, awareness, and knowledge

## Discussion: Proactive Human-AI system



Proactive Human-Al Systems can use our modeling for reasoning with causality, awareness, and knowledge

#### Billie's sprinkler example

What should Billie be made aware of to achieve short-term goals (sprinkler is on) or maintenance goals (grass stays green)?

## Discussion: Proactive Human-AI system



Proactive Human-Al Systems can use our modeling for reasoning with causality, awareness, and knowledge

## Billie's sprinkler example

What should Billie be made aware of to achieve short-term goals (sprinkler is on) or maintenance goals (grass stays green)?

If the 'Al-gardener' knows about Billie's *awareness* of the button and the sprinkler and her *unawareness* of the circuit, then it can proactively inform her about the circuit and its *causal link* to the sprinkler when it anticipates that Billie aims to turn on the sprinkler.

## Future work: Multiple agents



Support multiple learners and multiple experts — several layers in the Hybrid Causal graph

## Future work: Speculative knowledge



Support multiple learners and multiple experts — several layers in the Hybrid Causal graph

Investigate more the meaning *shadow variables* and extend our framework with *speculative knowledge* which concerns anything an agent believes might be possible based on their awareness. The learner could perform counterfactual interventions on shadow variables (learner speculatively knows).

#### Future work



Support multiple learners and multiple experts — several layers in the Hybrid Causal graph

Investigate more the meaning *shadow variables* and extend our framework with *speculative knowledge* which concerns anything an agent believes might be possible based on their awareness. The learner could perform counterfactual interventions on shadow variables (learner speculatively knows).

Introduce a becoming unaware operator, that is, drop-operator  $[-\chi]$ 

Do a sound and complete axiomatization of our logic,  $\mathcal{L}_{PAKCA+}$  (and  $\mathcal{L}_{PAKCA+\Delta}$ ).

# Thank you!

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## Free variables



#### **Definition**

Let  $\varphi$  be a formula of  $\mathcal{L}_{\text{PAKCA+}}$ . We define inductively the set of variables of a  $\varphi$ ,  $v(\varphi)$ , in the following way:

$$\begin{split} v(Z = z) &:= \{Z\} \\ v(\neg\varphi) &:= v(\varphi) \\ v([\varphi_1!]\varphi_2) &:= v(\varphi_1) \cup v(\varphi_2) \\ v(\varphi_1 \wedge \varphi_2) &:= v(\varphi_1) \cup v(\varphi_2) \\ v(\bigcirc\varphi) &:= v(\varphi), \text{ where } \bigcirc \in \{A,K\} \\ v(\oplus\varphi) &:= set(\overrightarrow{X}) \cup v(\varphi), \text{ where } \\ \oplus \in \{[\overrightarrow{X} = \overrightarrow{x}], [+\overrightarrow{X}]\} \end{split}$$

## Hybrid causal graph definition



#### Definition

Let  $\mathcal{M} = \langle S, \mathcal{F}, \mathcal{T}, \mathcal{A} \rangle$  be an epistemic causal awareness model with uniform awareness.

- 1. The *implicit causal graph* of  $\mathcal{M}$  is  $\mathcal{G}_{\mathcal{M}} = (\mathcal{X}, \mathcal{E})$ , where  $\mathcal{X} = U \cup V$ , and  $\mathcal{E} = \{(X, Y) \in (U \cup V)^2 \mid X \hookrightarrow_{\mathcal{F}} Y\}.$
- 2. The explicit causal graph of  $\mathcal{M}$  is the implicit causal graph of  $\mathcal{M}$  restricted to the awareness set, i.e.,  $\mathcal{G}^{Ex}_{\mathcal{M}} = (\mathcal{X}^{Ex}, \mathcal{E}^{Ex})$ , where  $\mathcal{X}^{Ex} = \mathbf{A}_{\mathcal{M}}$ , and  $\mathcal{E}^{Ex} = \{(X,Y) \in (\mathbf{A}_{\mathcal{M}})^2 \mid X \hookrightarrow_{\mathcal{F}}^{\mathcal{F}} Y\}$ .
- 3. Let  $\mathcal{G}_{\mathcal{M}}=(\mathcal{X},\mathcal{E})$  be the implicit causal graph of  $\mathcal{M}$ . The *one-step closure* of the explicit causal graph  $\mathcal{G}^{Ex}_{\mathcal{M}}=(\mathcal{X}^{Ex},\mathcal{E}^{Ex})$  is  $\hat{\mathcal{G}}^{Ex}_{\mathcal{M}}=(\hat{\mathcal{X}}^{Ex},\hat{\mathcal{E}}^{Ex})$ , where:
  - $\hat{\mathcal{X}}^{Ex} = \mathcal{X}^{Ex} \cup \{Y \in \mathcal{X} \setminus \mathbf{A}_{\mathcal{M}} \mid (X,Y) \in \mathcal{E} \text{ or } (Y,X) \in \mathcal{E} \text{ for some } X \in \mathcal{X}^{Ex}\};$
  - $\hat{\mathcal{E}}^{Ex} = \mathcal{E}^{Ex} \cup \{(X,Y) \mid X \in \mathcal{X}^{Ex}, Y \in \hat{\mathcal{X}}^{Ex} \text{ and } (X,Y) \in \mathcal{E}\}.$
- 4. An edge  $(X,Y) \in \mathcal{E}^{Ex}$  is a black box if  $X \hookrightarrow_{\mathcal{F}}^+ Y$ , but it is not the case that  $X \hookrightarrow_{\mathcal{F}} Y$ . We denote by  $\blacksquare (\mathcal{G}_{\mathcal{M}}^{Ex})$  the set of all such black boxes in  $\mathcal{G}_{\mathcal{M}}^{Ex}$ .

## Defining the hybrid causal graphs



## Definition (Hybrid causal graph)

Let  $\mathcal{M}=\langle S,\mathcal{F},\mathcal{T},\mathcal{A}\rangle$  be an epistemic causal awareness model with uniform awareness. A *hybrid causal graph* of  $\mathcal{M}$  is a tuple  $\mathcal{G}_{\mathbb{C}}=(\mathcal{G}_t,\mathcal{G}_b,\mathtt{F})$ , such that:  $\mathcal{G}_t:=\mathcal{G}_{\mathcal{M}}$ ,  $\mathcal{G}_b:=\hat{\mathcal{G}}_{\mathcal{M}}^{Ex}$ , and  $\mathtt{F}:=\{\mathtt{F}_{sh},\mathtt{F}_{\blacksquare}\}$ , where:

- ullet  ${\mathtt F}_{sh}: {\mathcal X}_t o \hat{{\mathcal X}}_b$  is a partial identity function, with  ${\mathtt F}_{sh}(X) = X$ , if  $X \in \hat{{\mathcal X}}_b$ ;
- $\mathbf{F}_{\blacksquare}: \mathcal{P}(\mathcal{X}_t) \to \blacksquare(\mathcal{G}_b)$  is a partial function, with  $\mathbf{F}_{\blacksquare}(\mathbf{Z}) = (X, Y)$ , if  $\mathbf{Z} = \{Z \mid X \hookrightarrow_{\mathcal{F}}^+ Z \hookrightarrow_{\mathcal{F}}^+ Y\}.$

## The consider algorithm



## **Algorithm 3** Consider $(\mathcal{M}, \overrightarrow{X})$

Input  $\overrightarrow{X}$ : a sequence of variables

 $\mathcal{M} = \langle S, \mathcal{F}, \mathcal{T}, \mathcal{A} \rangle$ : an epistemic causal awareness model

Output  $\mathcal{M}'$ : an updated model after awareness expansion

1: A' = null

2: for each  $Val \in \mathcal{T} do$ 

 $\mathcal{A}'(\mathtt{Val}) = \mathcal{A}(\mathtt{Val}) \cup set(\overrightarrow{X})$ 

4: end for

5:  $\mathcal{M}' = \langle S, \mathcal{F}, \mathcal{T}, \mathcal{A}' \rangle$ 

6: return  $\mathcal{M}'$ 

## The intervene algorithm



```
Algorithm 4 Intervene(\mathcal{M}, \overrightarrow{X} = \overrightarrow{x})
```

```
Input \mathcal{M} = \langle \langle U, V, \mathcal{R} \rangle, \mathcal{F}, \mathcal{T}, \mathcal{A} \rangle: an epistemic causal awareness model, where
                             \mathcal{F} = \{f_{X_i} \mid X_i \in V\} \text{ and } \mathcal{T} = \{\text{Val} \mid \text{Val complies with } \mathcal{F}\}
                  \overrightarrow{X} = \overrightarrow{x}: an assignment
      Output \mathcal{M}': the updated model after intervention
 1: \mathcal{F}' = \mathcal{F}, \mathcal{T}' = \mathcal{T}
 2: for each X_i in \overrightarrow{X} do
           if X_i \in V then
                 f'_{X_i} = \text{constant function } x_i
                 for each Val' \in \mathcal{T}' do
 6:
                       Val'(X_i) = x_i
 7:
                 end for
 8:
            else
                 for each Val' \in \mathcal{T}' do
10.
                       Val'(X_i) = x_i
11:
                 end for
12:
            end if
13: end for
14: for each X_i \in V \setminus set(\overrightarrow{X}) do
            for each Val' \in \mathcal{T}' do
15:
16:
                 \operatorname{Val}'(X_i) = \text{value complying with } \mathcal{F}'
17:
            end for
18: end for
19: \mathcal{M}' = \langle \langle U, V, \mathcal{R} \rangle, \mathcal{F}', \mathcal{T}', \mathcal{A} \rangle
20: return M'
```

## The explicit intervene algorithm



## **Algorithm 1** INTERVENEEXPLICIT( $\mathcal{M}$ , $\overrightarrow{X} = \overrightarrow{x}$ )

#### Input

 $\mathcal{M} = \langle S, \mathcal{F}, \mathcal{T}, \mathcal{A} \rangle$ : an epistemic causal awareness model

 $\overrightarrow{X} = \overrightarrow{x}$ : an assignment

**Output**  $\mathcal{M}'$ : the updated model after explicit intervention

1:  $\mathcal{M}_{+} \leftarrow \text{Consider}(\mathcal{M}, \overrightarrow{X})$ 2:  $\mathcal{M}' \leftarrow \text{Intervene}(\mathcal{M}_{+}, \overrightarrow{X} = \overrightarrow{x})$ 

3: return  $\mathcal{M}'$ 

# The differential awareness intervene algorithm



## **Algorithm 2** InterveneDiffAware $(\mathcal{M}, \overrightarrow{X} = \overrightarrow{x})$

#### Input

 $\mathcal{M} = \langle S, \mathcal{F}, \mathcal{T}, \mathcal{A} \rangle$ : an epistemic causal awareness model

 $\overrightarrow{X} = \overrightarrow{x}$ : an assignment

#### Output

 $\mathcal{M}^{\Delta}$ : the updated model after differential awareness intervention

1:  $\mathcal{A}' = null$ 

2:  $\mathcal{M}_{\overrightarrow{X}=\overrightarrow{x}} = \langle S, \mathcal{F}_{\overrightarrow{X}=\overrightarrow{x}}, \mathcal{T}_{\overrightarrow{X}=\overrightarrow{x}}^{\mathcal{F}}, \mathcal{A} \rangle \leftarrow \text{Intervene}(\mathcal{M}, \overrightarrow{X}=\overrightarrow{x})$ 

3: for all  $Val \in \mathcal{T} do$ 

 $4: \qquad \mathcal{A}'(\mathtt{Val}^{\mathcal{F}}_{\overrightarrow{X} = \overrightarrow{X}}) = \mathcal{A}(\mathtt{Val}) \cup \Delta(\mathtt{Val},\mathtt{Val}^{\mathcal{F}}_{\overrightarrow{X} = \overrightarrow{X}})$ 

5: end for

6:  $\mathcal{M}^{\Delta} = (S, \mathcal{F}_{\overrightarrow{X} = \overrightarrow{x}}, \mathcal{T}^{\mathcal{F}}_{\overrightarrow{X} = \overrightarrow{x}}, \mathcal{A}')$ 

7: return  $\mathcal{M}^{\Delta}$